

## **Rehabilitation intervention in animal model can improve neuromotor and cognitive functions after traumatic brain injury.**

**(Pilot study)**

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Short title: Rehabilitation animal model of functions after TBI

## **Summary**

The aim of the present study was to quantify the effect of multisensory rehabilitation on rats' cognition after an experimental brain trauma and to assess its possible clinical implications. The complex intermittent multisensory rehabilitation consisted of currently used major therapeutic procedures targeted at the improvement of cognitive functions; including multisensory and motor stimulation and enriched environment. We have confirmed this positive effect of early multisensory rehabilitation on the recovery of motor functions after traumatic brain injury. However, we have been able to prove a positive effect on the recovery of cognitive functions only with respect to the frequency of efficient search strategies in a Barnes maze test, while results for search time and travelled distance were not significantly different between study groups. We have concluded that the positive effects of an early treatment of functional deficits are comparable with the clinical results in early neurorehabilitation in human patients after brain trauma. It might therefore be reasonable to apply presented experimental results to human medical neurorehabilitation care.

## **Key words**

brain trauma recovery - multisensory rehabilitation model - enriched environment.

## **Introduction**

The rehabilitation procedures commonly administered after a heavy brain trauma take advantage of the optimal utilization of neural plasticity mechanisms (Saatman et al. 2001, Stein et al. 2002). Current research in the literature indicates that the intermittent multimodal sensory stimulation influences the regeneration of the damaged central nervous system and advances its reorganization and functional recovery (Maegele et al. 2002). This knowledge is mainly empirical in so far as the underlying mechanisms are far from being thoroughly understood. The effects of multisensory stimulation on brain plasticity have at present been studied mainly in an enriched environment model (Czeh et al. 1998, Hamm et al. 1996, Passineau et al. 2001) with its continuous availability of stimulating activity and within spacious housing equipped with plenty of toys to play with. However, the enriched environment model is not suitable for an assessment of the effect of multisensory stimulation therapy, as all the sensory stimuli are present continuously. In clinical neurorehabilitation, the sensory stimulation is administered intermittently, the length of the treatment units being clearly separated in time and their length adjusted to the patient's current performance level (Lippert-Grüner and Terhaag 2000, Lippert-Grüner et al. 2002a, Lippert-Grüner et al. 2002b, Lippert-Grüner et al. 2007).

The aim of the present study was to quantify the effect of multisensory rehabilitation on rats' cognition after an experimental brain trauma and to assess its possible clinical implications within comparable conditions.

## **Methods**

We did our research with twelve adult male Sprague-Dawley rats, each weighing 350 – 450 grams, using conventional breeding. We divided them into two groups, six animals each. We used this species and strain of experimental animal because a fluid percussion brain trauma model has been successfully established in them previously and valid experimental results are already available for discussion. The animals were taken to the experimental environment and kept in standard conditions one week prior to the experiment. Before and during the whole experiment, water and food was freely available to the animals. In line with official guidelines, the animals were kept at 20 – 23 degrees Celsius room temperature, 50 – 60 per cent relative air humidity and 12 hours light/dark cycle. The ambient illumination level during the light period was 50 – 100 lux with lights turned on at 7 a.m. All the procedures and testing were performed during the light period.

All experimental procedures conformed with the guidelines of the Cologne University and the state's animal protection and ethics committee. All efforts were undertaken to minimize animal discomfort and to reduce the total number of animals used.

### *Brain trauma model.*

The lateral fluid-percussion (LFP) brain injury model is one of the most widely used and well characterized models of experimental traumatic brain injury has a good reproductability and can cope a lot of important aspects of human traumatic brain injury (McIntosh et al., 1989). The trauma was induced by the fall of a metal pendulum against a piston inducing a pulse of increased intracranial pressure of 21-23 ms duration through rapid injection of saline into the closed cranial cavity thus resulting in a brief displacement and deformation of neural tissue. The pressure pulse

was measured extracranially by a transducer (Gould) housed in the injury device and recorded on a computer oscilloscope emulation program (RC Electronics). Fluid-Perkussion-Modell produced by the lateral shift of brain tissue a difuse trauma of the white matter near to cortex and the basal ganglia, also a intraparenchmal petechiasl bleetings in cortex, white matter, hippocampus and basal ganglia and brain stem. In part also a subarachnoid bleedings can be seen. Cellular death, necrosis and impairment of long axonal pathways can be found in the cortex. The lesion is irreversible. The axonal trauma is a most important characteristic of Fluid-Perkussion-Modells despite of other experimental brain trauma models (Hicks et al. 1996, McIntosh et al. 1989).

This traumatic injury is biomechanically, physiologically, neurologically and morphopathologically comparable with a corresponding brain trauma in humans (Sullivan et al. 1976). In brief, animals were anesthetized with sodium pentobarbital (60 mg/kg, i.p.), placed in a stereotaxic frame, and the scalp and temporal muscle were reflected. A hollow female Luer-Lok fitting was rigidly fixed with dental cement to a 4.8-mm craniotomy centered between bregma and lambda and 2.5 mm lateral to the sagittal sinus, keeping the dura mater intact. The fluid-perkussion device consists of a plexiglas cylinder filled with isotonic saline. One end of the cylinder is connected to a metal housing terminated with a male Luer-Lock fitting. Prior to the induction of trauma the male Luer-Lok was connected to the female Luer-Lok anchored in the rat's skull, creating a closed system filled with isotonic saline in connection with the dura. The trauma was induced by the fall of a metal pendulum against a piston inducing a pulse of increased intracranial pressure of 21- 23 ms duration through rapid injection of saline into the closed cranial cavity thus resulting in a brief

displacement and deformation of neural tissue. The pressure pulse was measured extracranially by a transducer (Gould) housed in the injury device and recorded on a computer oscilloscope emulation program (RC Electronics). Following injury at a moderate level (2,1 atm), the incision was closed with interrupted 4.0 silk sutures, and the animals were placed onto a heated pad to maintain body temperature for 1h following surgery. The trauma was induced in all animals on experimental day 0. All animals were monitored for at least 6h postsurgery, then daily.



Pict. 1: The fluid percussion model.

### *Experimental groups.*

24 hours after trauma, on experimental day 1, the animals were randomly divided into two experimental groups:

Group 1: Standard housing. Animals were single housed in conventional cages without any special procedures given to them.

Group 2: Early multisensory rehabilitation model. Animals were housed in a spacious enriched environment and early intermittent multisensory rehabilitation and motor stimulation were administered to them, as described below.

The experiment lasted 15 days after the trauma.

*Early multisensory rehabilitation.*

The early rehabilitation based on an administration of repeated multisensory and motor stimulation complemented by an enriched environment changing over time started 24 hours after trauma in the EMR group, just after the animals had been randomly divided into both experimental groups. We have previously described our early multisensory rehabilitation (EMR) model (Pict. 2) in detail (Lippert-Grüner and Terhaag 2000, Lippert-Grüner et al. 2007). The EMR animals were kept together thus allowing them to freely interact within a spacious housing with plenty of toys to play with and a dark quiet room to rest in. Multimodal stimulation presented to the animals three times daily consisted of acoustic stimulation (intermittent buzzer sound 80 decibels loud 30 seconds on, 30 seconds off, followed by a 20 minutes pause), visual stimulation (60-watt bulb blinking at one hertz followed by a 20 minutes pause) and olfactory stimulation (mint essence). To stimulate motor and executive functions, five days of motor training on a rotating rod was administered on experiment days 5 through 9 (post-trauma).



Pict. 2: Early multisensory rehabilitation model (EMR).

*Examination of neuromotor functions.*

Neuromotor abilities were evaluated in all animals using a well established neuroscore battery of tests (Okiyama et al.1992, Simson et al.1995). Briefly, this test battery includes individual tests targeted at forelimb function, each side at a time, hindlimb function, resistance to lateral pulsion and ability to stand on an inclined plane (Okiyama et al.1992, Simson et al.1995). Scoring for each individual animal ranged from 4 points (normal performance) to 0 points (severely impaired performance) for each of the tested modalities. It has been previously suggested that the composite neurological motor score (ranging from 0 to 28 points) obtained as a sum of all the test scores is a good cumulative indicator of neuromotor status and correlates well with the severity of the trauma (McIntosh et al. 1989, Sullivan et al. 1976). The investigator did not know which group a tested animal belonged to. Neuromotor functions were assessed in both standard housing and early multisensory rehabilitation animals 24 hours prior to trauma and 24 hours, 7 and 15 days after trauma.

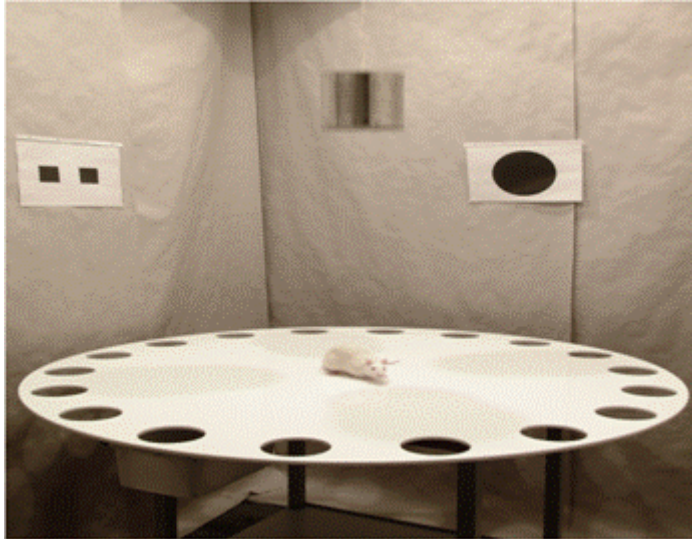


### *Examination of cognitive functions.*

We have examined cognitive deficits with a special focus on spatial memory and learning with a Barnes circular maze test (Barnes 1979).

### ***Spatial learning and memory evaluation***

*Barnes Circular Maze Procedure.* The Barnes Circular maze (Barnes 1979) has been adapted to assess spatial reference memory following traumatic brain injury (pPct. 3). The maze represents an efficient and approved alternative to the commonly used water maze test with less stress to the animal, less physical demand and less trails over days for satisfactory training (Fox et al. 1998). In brief, animals were trained to locate a dark escape chamber, hidden underneath a hole positioned around the perimeter of a disk, brightly illuminated by four overhead halogen lamps to provide a low-level aversive stimulus. Our maze was manufactured from white acrylic plastic to form a disk 1,5 cm thick and 115 cm in diameter with 18 evenly spaced 7 cm holes at its edges. The latencies to enter the escape box were recorded by the investigator blinded to treatment. Additionally, all trails were recorded simultaneously by a video camera installed directly overhead the center of the maze. Two daily training trials of the Barnes circular maze test were performed in two five-day series, on consecutive experiment days -1 through -5 (pre-trauma) and 11 through 15 (post-trauma). A trail was started by placing the animal in the center of the maze covered under a cylindrical start chamber; after a 10 seconds delay, the start chamber was raised remotely using a pulley system. A training session ended after the animal had entered the escape chamber or when a pre-determined (300 seconds) time had elapsed, whichever came first. There was a 4-min intertrail interval for each animal. All surfaces were routinely cleaned with distilled water before and after each trail to eliminate possible olfactory cues from preceding animals.



Pict. 3: Start position of Barnes circular maze test.

The latencies to enter the escape box, the path length and the trajectory were recorded. A trial was started by placing the animal in the centre of the maze covered under a cylindrical start chamber. After a 10 second delay, the start chamber was raised remotely. A training session ended after the animal had either entered the escape chamber or when a pre-determined (300 seconds) time had elapsed, whichever came first. There was a 4-minute inter-trial interval for each animal.

*Statistical analysis:* Data obtained from standard housing (SH), and early multisensory rehabilitation (EMR) animals were tested for differences using oneway descriptive statistics and oneway ANOVA. A level of significance of  $p < 0.05$  was used for all analyses. All computations were performed using 11.0 SPSS<sup>®</sup>-software.

## **Results**

*Neuromotor functions.*

Examination results of neuromotor functions (neuroscores) are shown in Tab. 1. As expected, one day before the trauma, all animals scored a neuroscore with the full number of points (28 points). The first day after trauma, the sensorimotor deficit in both groups was of a well comparable magnitude, as expressed by the mean neuroscore of 14.31 (in standard housing animals) and 14.85 (in rehabilitation animals), which indicates a moderate sensorimotor posttraumatic deficit.

| <b>Experimental day</b> |      | <b>Standard housing</b> | <b>Early multisensory rehabilitation</b> |
|-------------------------|------|-------------------------|--|
| -1                      | Mean | 28.00                   | 28.00                                    |
|                         | SD   | 0.00                    | 0.00                                     |
| 1                       | mean | 14.31                   | 14.85                                    |
|                         | SD   | 2.88                    | 2.92                                     |
| 7                       | mean | 12.92                   | 16.71                                    |
|                         | SD   | 3.03                    | 2.31                                     |
| 15                      | mean | 14.25                   | 18.56                                    |
|                         | SD   | 2.01                    | 1.80                                     |

Tab. 1 Mean scores of neuromotor functions (Neuroscore) in standard housing and early multisensory rehabilitation groups.

To assess the differences in posttraumatic neuromotor deficit, we performed a one way analysis of variance. We found no statistical difference in posttraumatic neuromotor deficit 24 hours after brain injury ( $p = 0.64$ ). The overall difference in the time courses of motor function deficit between both groups was statistically significantly different ( $p < 0.05$ ). The mean neuromotor score 15 days after injury for the standard housing group was 14.25 points compared with the much better and statistically significantly different 18.56 points in the early multisensory rehabilitation group ( $p < 0.05$ ).

### *Cognitive functions.*

The Barnes Circular maze (Barnes 1979) has been adapted to assess spatial reference memory following traumatic brain injury. The impairment of the spatial memory can be probably mostly related to the intraparenchymal petechial bleedings and the cellular loss in the hippocampus. The search strategy presents the function of spatial memory in addition also the ability of planing can be representative for this function.

Spatial cognition examined in the Barnes circular maze test was primarily assessed by the search time (in seconds) needed by the animal to find the protective box. Furthermore, the distance (in meters) travelled by the animal and number of erroneously chosen places was recorded and analyzed and the search strategy chosen by the animal was classified as either random (a), serial (b), or spatial (c) according to predefined criteria (see Fig. 2 caption for details). Two successive training sessions were carried out each day. The mean of the two daily values was entered into the following statistical analysis. The results are summarized in Tab. 2 and Fig. 1.

| Experimental day |      | Latency (seconds) |       | Distance (meters) |      | Number of mistakes |      |
|------------------|------|-------------------|-------|-------------------|------|--------------------|------|
|                  |      | SH                | EMR   | SH                | EMR  | SH                 | EMR  |
| -5               | Mean | 32.92             | 31.75 | 3.43              | 2.50 | 4.92               | 4.50 |
|                  | SD   | 15.00             | 21.35 | 2.01              | 0.90 | 2.33               | 1.18 |
| -4               | Mean | 21.50             | 22.25 | 2.48              | 2.86 | 4.17               | 5.00 |
|                  | SD   | 12.24             | 9.73  | 0.88              | 1.30 | 2.25               | 2.64 |
| -3               | Mean | 15.50             | 13.33 | 2.47              | 1.91 | 3.83               | 3.75 |
|                  | SD   | 8.76              | 5.63  | 1.54              | 0.86 | 2.62               | 2.66 |
| -2               | Mean | 12.83             | 19.50 | 1.98              | 2.72 | 4.58               | 4.33 |
|                  | SD   | 3.88              | 6.58  | 0.53              | 0.91 | 2.26               | 3.12 |
| -1               | Mean | 15.33             | 19.75 | 2.36              | 2.80 | 4.5                | 4.58 |
|                  | SD   | 3.57              | 5.44  | 0.60              | 0.36 | 1.73               | 2.90 |
| 11               | Mean | 24.67             | 23.00 | 3.22              | 2.87 | 5.92               | 6.00 |
|                  | SD   | 9.36              | 12.21 | 1.42              | 1.30 | 3.10               | 2.58 |
| 12               | Mean | 22.58             | 21.33 | 3.24              | 3.09 | 4.75               | 5.25 |
|                  | SD   | 11.08             | 9.65  | 2.10              | 1.19 | 2.54               | 4.22 |
| 13               | Mean | 15.33             | 15.83 | 2.26              | 1.94 | 3.00               | 2.75 |
|                  | SD   | 7.02              | 9.486 | 1.26              | 1.21 | 1.18               | 1.66 |
| 14               | Mean | 15.17             | 13.67 | 2.33              | 1.86 | 3.25               | 3.33 |
|                  | SD   | 4.65              | 7.95  | 1.01              | 0.59 | 1.33               | 2.82 |
| 15               | Mean | 15.5              | 13.50 | 2.60              | 2.45 | 3.75               | 3.25 |
|                  | SD   | 4.93              | 2.77  | 1.06              | 0.77 | 1.83               | 1.29 |

Tab. 2 Results of Barnes circular maze test in standard housing (SH, n=6) and early multisensory rehabilitation (EMR, n=6) groups.

The first series of the Barnes circular maze test were carried out during the five consecutive days just preceding the induction of the experimental brain trauma (on experiment days -5 through -1). In this pretraumatic cognitive function test series, the standard housing group achieved a decrease in latency of 17.59 seconds and the early multimodal rehabilitation group of 12.00 seconds. The path length shortening was 1.07 meters in the standard housing group and no path length shortening was recorded in the early multisensory rehabilitation group. The decrease in the number of errors per trial was 0.42 in the SH group compared with no change in the EMR group. Although the EMR group performed slightly worse pre-trauma, the results between groups in latency decrease, path length shortening and number of errors per trial were not statistically significant. The percentages of employed search

strategies (Fig. 2) in both groups were fully comparable. Thus we made sure that the cognitive capacity examined in all four parameters of the Barnes circular maze test was comparable in both groups pre-trauma.

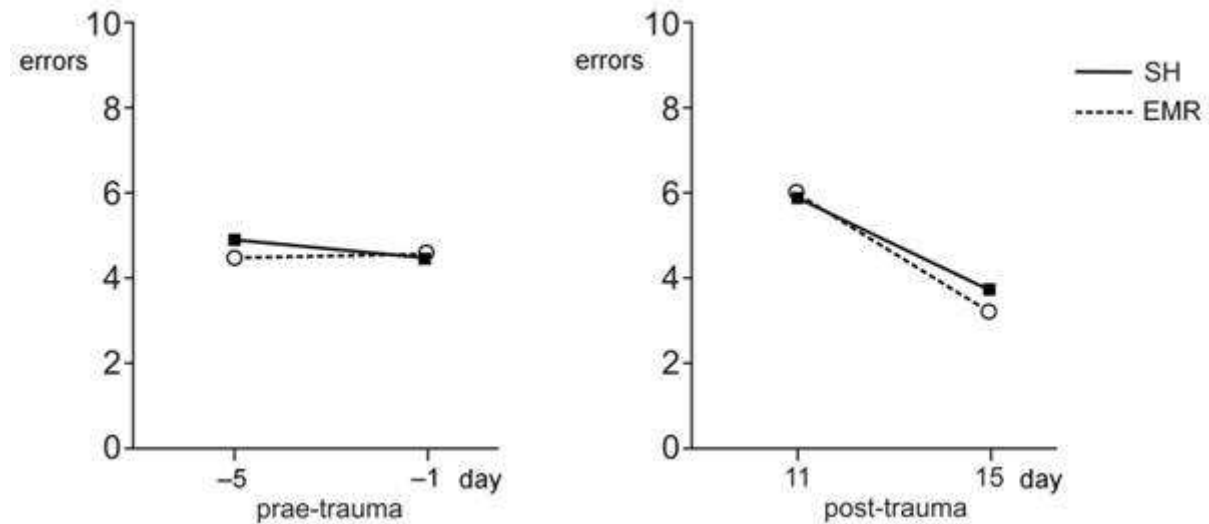


Fig. 1 Mean number of errors in Barnes circular maze test in both standard housing (SH) and early multisensory rehabilitation (EMR) groups

The next series of the Barnes circular maze test was carried out on the 11th through 15th days post trauma. Again, we compared the level of improvement in all three recorded parameters (Tab. 2). Initial values for both groups were the same, mean latency for the standard housing group being 24.67 seconds and for the early multisensory rehabilitation group, 23.00 seconds. During the five consecutive testing days, the standard housing group improvement in latency was 9.17 seconds and in the early multisensory rehabilitation group it was almost the same value of 9.5 seconds. The path length improvement (0.62 vs. 0.42 meters) was comparable in both groups as well. In the number of errors, the EMR group performed slightly, but statistically not significantly better, by achieving a mean 2.75-point improvement compared to the SH group with its improvement of 2.17 points (Fig 2).

The percentages for the chosen search strategies were markedly different among the groups. We have evaluated the cumulative percentages of search strategies summed up for pre-trauma and post-trauma trials. The statistical analysis employed here was the non-parametric  $\chi^2$ -test and revealed a highly statistically significant difference between both groups ( $p < 0.02$ ). The difference was especially apparent in the decrease of random search usage. Random search was employed in 11.7 per cent of the standard housing and in 13.3 per cent of the early multimodal rehabilitation group before trauma (Fig. 2). After trauma, random search usage in standard housing animals increased to 16.7 per cent while the percentage in the early multimodal rehabilitation declined to only 3.3 per cent (Fig. 2). Thus the very inefficient random search was virtually abandoned after early multisensory rehabilitation.

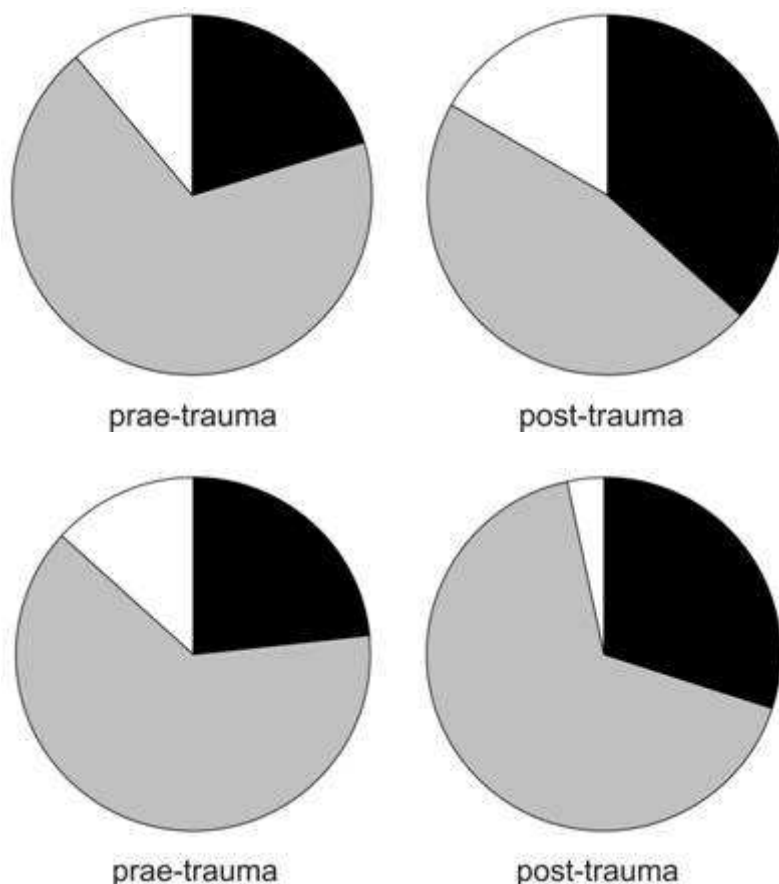


Fig. 2 Percentage of chosen search strategies in standard housing (SH) and early multisensory rehabilitation (EMR) showed cumulatively pre-trauma and post-trauma.

1. random search = white (non-targeted search, multiple changes of search direction, crossings of the centre of the disk, perseveration);
2. serial search = grey (targeted search, the subject examines each or each other hole sequentially in one direction, one change of search direction is acceptable provided the following search is serial or spatial);
3. spatial search = black (targeted search starting not further than two holes from the target box, it is not necessary for the subject to start search in the target sector, however, once there, it must not leave it again).

## **Discussion**

The aim of the present study was to evaluate the effect of an experimental early multisensory rehabilitation after brain trauma. The rehabilitation model consisted of currently used major therapeutically procedures targeted at the improvement of cognitive functions. Previously published studies examining the effect of enriched environment on animal behaviour outnumber by far those that take advantage of complex intermittent multisensory rehabilitation and motor stimulation. The positive effect of enriched environment on cerebral regeneration has been repeatedly demonstrated both at the functional, motor and cognitive levels (Gentile et al. 1987, Grabowski et al. 1995 , Johansson and Ohlsson 1996, Ohlsson and Johansson 1995) and at the neuroanatomy, neurophysiology and neuropharmacology levels (Bennett et al. 1964, Greenough and Volkmar 1973 , Johansson and Belichenko



2002, Kolb 1995, Young et al.1999, Zeng et al. 2000, Zhao et al. 2001). However, some studies found a negative effect of enriched environment on functional recovery. When we looked more carefully at those studies in order to resolve this apparent discrepancy, we found that they possibly used very simple and uniform stimuli only (Daly 1973, Denenberg and Zarrow accepted by Academic Press, San Diego 1971), thus a rapid habituation in the absence of complex stimuli effectively prevented the sensomotor and cognitive recovery. This is why enriched environment itself, in our opinion, is *not* a sufficient model for early multisensory neurorehabilitation, as we will discuss in more detail later.

In the present study we have used an experimental model of early multisensory rehabilitation aimed at the assessment of its effect on cognitive and sensomotor abilities in the early phases after a traumatic brain injury. Standardization of the magnitude of brain trauma was achieved by the use of a fluid percussion model and its levelling is seen in the uniform scores of neuromotor functions one day after trauma. Not only was there consistency within groups, but inter-individual variability was remarkable small (Tab. 1). In accordance with our previously published studies (Lippert-Grüner and Terhaag 2000, Lippert-Grüner et al. 2007), we have observed continuous improvement of functional deficits in neuromotor functions in both groups (Tab. 2) during 15 days time. However, animals kept in an early multisensory rehabilitation model demonstrated statistically significantly better results. The continuously improving sensomotor functions are comparable with the results of Biernaskie (Biernaskie et al. 2004). His model, although different in many details, is in principle comparable with the rehabilitation model used in our study. However, Biernaskie's work cannot be used as a reference to our results obtained in the

Barnes circular maze test as he did not assess cognitive functions in a comparable way.

Several published studies that showed the positive effect of enriched environment on cognitive functions used tests for cognitive assessment comparable to ours, although their time course and brain lesion model differed from ours (Grabowski et al. 1995, Johansson and Ohlsson 1996, Ohlsson and Johansson 1995). While those studies used a longer time interval to let the influence of enriched environment on cognition fully develop, we were not able to unambiguously prove a positive effect with early multisensory rehabilitation (Tab. 2). Our results show a continuous improvement of cognitive functions after brain trauma which is not statistically different in the standard housing vs. the early rehabilitation model groups. Although the results in the early rehabilitation model group seem better, the statistical significance was only in respect to the search strategy.

To summarize our conclusions, we have confirmed once again the positive effect of early multisensory rehabilitation on the recovery of motor functions after traumatic brain injury. On the other hand, within the scope of 15 days of the present study, we have proven a statistically significant positive effect on the recovery of cognitive functions only with respect to the frequency of efficient search strategies. Although the rehabilitation was started very early (24 hours post trauma), we have not observed any occurrence of its negative effect on neuromotor or cognitive functions. Our conclusions thus oppose those studies that found a negative effect of early rehabilitation on functional recovery (Bland et al.2001, Bland et al 2000, Humm et al.

1996, Humm et al. 1998, Kozlowski et al.1996, Risedal et al. 1999, Rosenzweig 1966).

The positive effects of early treatment of functional deficits are comparable with the clinical results in early neurorehabilitation in human patients after brain trauma. It might therefore be reasonable to apply the presented experimental results to human medical neurorehabilitation care, as the complex motor and cognitive deficits present in rats within the early phase of the disease induced by a brain trauma are analogous to those seen in humans (Nakayama et al. 1994). It is well known that the magnitude of motor deficit in the experimental trauma model discussed here continuously declines within the ten days following the brain trauma and the deficit level correlates well with the trauma level (Lauterborn et al. 1996). On the other hand, the deficits in cognitive functions persist for a much longer time (Neeper et al. 1998, Tao et al. 1998). In conclusion, we can compare the course of functional recovery outlined above with clinical results of an early neurorehabilitation, which has as its main aim the recovery of sensory motor abilities just after restitution of consciousness and cooperativeness. The treatment of sensory motor functions in this phase is very effective compared to the results that can be achieved during later phases of rehabilitation. That is why, is very import to support early, intensive neurorehabilitation. However, the treatment aiming at the recovery of cognitive functions is not the main goal in the early phase of rehabilitation, as this is the domain of later rehabilitation.

Additional experiments should be done to elucidate the mechanism(s) of this therapy forms in neurorehabilitation.

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